

## **Rui J. P. de Figueiredo, Jr.**

### **Department Address:**

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**Updated:** June 2007

**Personal Information:** Born June 13, 1967, in Houston, Texas.

### **Professional**

#### **UNIVERSITY OF CALIFORNIA AT BERKELEY**

- 2003-Present Associate Professor (with tenure) of Business and Public Policy, Haas School of Business, and Political Science, Department of Political Science.
- 1997-2003 Assistant Professor of Business and Public Policy, Haas School of Business, and Political Science, Department of Political Science.
- 1997-Present Research Fellow, Institute for Governmental Studies.

### **Education**

#### **1993-1997 STANFORD UNIVERSITY**

Ph.D. in *Political Science*, September 1997. Fields: American politics, political organizations, formal theory and quantitative methods.  
Dissertation committee: Barry R. Weingast (chair), John A. Ferejohn, Terry M. Moe, and R. Douglas Rivers.

Dissertation Title: "Structural Politics: Dynamic Political Strategies and the Durability of Public Policy"

M. A. in *Economics*, June 1997. Courses included: microeconomic theory, macroeconomic theory, econometrics.

M. A. in *Political Science*, June 1996. Fields: American politics, political organizations and quantitative methods.

Summer 1994     **INTER-UNIVERSITY CONSORTIUM FOR POLITICAL AND SOCIAL RESEARCH**

Program participant at "Summer Program in Quantitative Methods."  
Courses included: multivariate statistical methods, game theory, and statistical computing.

1985-1989     **HARVARD UNIVERSITY**

A.B., *summa cum laude*, in History with an emphasis in American history. Advisor: Donald Fleming.

### **Awards, Honors, Fellowships and Grants**

*University of California:*     Faculty Research Grant (non-competitive) (1997, 1999, 2000, 2001, 2002, 2003, 2004, 2005, 2006).  
Research Assistantship in the Humanities (1997).  
Junior Faculty Research Grant (1998).  
Schwarbacher Award (1998).  
Earl F. Cheit Award for Ph. D. Teacher of the Year (1999).  
Junior Faculty Mentorship Grant (2000).  
Faculty Research Grant (competitive) (2000).  
Junior Faculty Summer Grant (2002).

*Stanford University:*     Jacob Javits Fellow, 1994-1997.  
Stanford University Graduate Fellowship, 1993-1994.

*Harvard University:*     Phi Beta Kappa as a junior (top twelve male students), 1988.  
John Harvard Scholar, 1985-1989.  
William Scott Ferguson prize for top history paper, 1987.  
Harvard Class of 1919 Memorial Fund Scholar, 1987.  
Detur Prize, 1986.  
National Merit Scholar, 1985.

### **Non-academic and Visiting Scholar Experience**

June 1998     **FEDERAL RESERVE BANK OF MINNEAPOLIS**     Minneapolis, MN  
*Visiting Scholar.*

1989-1993     **THE BOSTON CONSULTING GROUP**     Melbourne, Australia  
*Qualified Case Leader.* Led large teams in analyzing organizational issues for large companies and government bodies in Australia, New Zealand, Asia, United States and Europe. Areas of specialization included strategy, inventory management, public policy, privatization and organizational design.

**Research** (see [www.haas.berkeley.edu/~rui/papers.htm](http://www.haas.berkeley.edu/~rui/papers.htm) to download)

**Books**

*The Outsider: Prejudice and Politics in Italy*. Princeton University Press, 2000. With Paul M. Sniderman, Pierangelo Peri, and Thomas Piazza.

**Publications in Refereed Journals and Law Reviews**

"Does Private Money Buy Public Policy? Campaign Contributions and Regulatory Outcomes in Telecommunications." *Journal of Economics and Management Strategy* 16:3 (Fall 2007): 547-576. With Geoff Edwards. (lead article)

"Constructing Self-Enforcing Federalism in the Early United States and Modern Russia." *Publius* 37:2 (Spring 2007), pp. 160-189. With Michael McFaul and Barry R. Weingast.

"Rationality, Inaccurate Mental Models, and Self-Confirming Equilibrium." *Journal of Theoretical Politics* 18:4 (October 2006), pp. 384-415. With Jack Rakove and Barry R. Weingast.

"Self-Enforcing Federalism." *Journal of Law, Economics and Organization* 21 (April 2005), pp. 103-135. With Barry R. Weingast.

"The Political Economy of State-Level Administrative Procedure Acts." *Journal of Law and Economics* 47 (October 2004), pp. 569-588. With Richard G. Vanden Bergh.

"Endogenous Budget Institutions and Political Insulation: Why States Adopt the Item Veto." *Journal of Public Economics* 87 (December 2003), pp. 2677-2701.

"Are Patriots Bigots? An Inquiry Into the Vices of In-Group Pride." *American Journal of Political Science*, 47:1 (January 2003), pp. 171-181. With Zachary Elkins.

"The Allocation of Resources by Interest Groups: Lobbying, Litigation, and Administrative Regulation." *Business and Politics* 4:2 (August 2002), pp. 161-181. With John M. de Figueiredo.

"Electoral Competition, Political Uncertainty, and Policy Insulation." *American Political Science Review*, 96:2 (June 2002), pp. 321-333.

"Strategy, Structure and Regulation: Telecommunications in the New Economy." *Michigan State-Detroit College Law Review* 1 (2000), pp. 253-285. With Pablo T. Spiller.

"An Informational Perspective on Administrative Procedures." *Journal of Law, Economics and Organization*. 15:1 (April 1999), pp. 283-305. With Pablo T. Spiller and Santiago Urbiztondo.

“The Politics of Interpretation: Rationality, Culture and Tradition.” *Politics and Society*. 26: 4 (December 1998), pp. 603-642. With Robert H. Bates and Barry R. Weingast.

### **Other Scholarly Publications**

“Hedge Funds and the Active Management Industry.” *Journal of Alternative Investments*, forthcoming. With Neil Brown, Vineet Budhraj, and Ryan Meredith.

“Unleashing Alpha.” *Handbook of Finance Series*. Frank Fabozzi, Editor. With Vineet Budhraj, Janghoon Kim and Ryan Meredith.

“Asset Allocation: A New Paradigm.” *Handbook of Finance Series*. Frank Fabozzi, Editor. With Vineet Budhraj, Janghoon Kim and Ryan Meredith.

“The New Separation of Powers Approach to American Politics.” *The Oxford Handbook of Political Economy*, Oxford University Press, 2006. With Tonja Jacobi and Barry R. Weingast.

“How Risky Are Illiquid Investments? A Practical Approach to Estimating Volatilities and Correlations for Non-Traded Assets,” *Journal of Portfolio Management* (Winter 2005), pp. 83-93. With Vineet Budhraj.

“Managerial Decisionmaking in Non-Market Strategy: A Survey Experiment.” *Advances in Strategic Management*, 2002. With John M. de Figueiredo.

"Political Uncertainty and Administrative Procedures." In Barry Burden, ed., *Uncertainty in American Politics*, Cambridge University Press, 2003. With Richard G. Vanden Bergh.

“Rational Choice Theories of Bureaucratic Control and Performance.” In William F. Shughart II and Laura Razzolini, eds., *The Elgar Companion on Public Choice*, Edward Elgar Press, 2001. With Kelly H. Chang and Barry R. Weingast.

"The Rationality of Fear: Political Opportunism and Ethnic Conflict." In Barbara Walter and Jack Snyder, eds., *Civil Wars, Insecurity and Intervention*, Columbia University Press, 1999. With Barry R. Weingast.

### **Other Publications**

"Russian Federalism: A Contradiction in Terms." *Hoover Digest: Research and Opinion on Public Policy* (Fall 2001), pp. 116-123. With Barry R. Weingast.

### **Papers Currently Under Submission**

"Vicious Cycles: Endogenous Political Extremism and Political Violence." Under submission to *International Organization* (revise and resubmit). With Barry R. Weingast.

### **Working Papers and Works in Progress**

“Hedge Funds and Corporate Diversification.” With Evan Rawley.

"The Market for Legislative Influence over Regulatory Policy." With Geoff Edwards.

"A Note on Correlations in Split-Item Tests." With R. Douglas Rivers.

"Pathologies of Federalism, Russian Style: Political Institutions and Economic Transition."  
With Barry R. Weingast.

“A Theory of the Political Firm: A Multi-task Model of Bureaucratic Jurisdictions." With  
Jason Snyder.

### **Conference Participation and Invited Presentations**

- 2007: UC Berkeley Conference on Endogenous Institutions and Political Conflict,  
Chair  
Mercatus Center Conference, Participant
- 2006: UC Berkeley, Business and Politics Seminar  
Stanford University, Political Methodology Seminar  
UC Berkeley/UCSD Conference on the Comparative Analysis of Legislative  
Behavior, Participant  
UC Berkeley Conference on Endogenous Institutions and Political Conflict,  
Chair  
Conference on Business and Its Environment (Stanford)
- 2005: Research Group on Political Institutions and Economic Policy (Princeton),  
Participant  
Law and Positive Political Theory Conference (Northwestern), Discussant  
UCSD/USD Law Conference on Administrative Law and Process
- 2004: Hoover Institution Seminar on Collective Choice (Stanford)
- 2003: University of Vermont, Harris Lectures  
University of California-Davis, Political Science Seminar  
University of California-San Diego, Political Science Seminar
- 2002: Haas School of Business, Institutional Analysis Workshop  
NYU, Political Economy Workshop  
Strategy and the Business Environment Conference (Stanford), Discussant
- 2001: USD Law School and UCSD Department of Political Science Joint Seminar  
Conference on Prejudice and Politics (Trento, Italy)  
ISNIE Annual Meeting  
Harvard CBRSS Conference, Participant  
Civil Wars and Post-Conflict Transitions Conference (Irvine), Discussant  
Comparative Corporate Strategies in Asia (Berkeley), Discussant

- IGS Colloquium on Decentering Governance (Berkeley), Discussant  
 OECD Conference on Russian Federalism (Moscow, Russia)
- 2000: Haas School of Business, Institutional Analysis Workshop  
 UCSD Western Young Scholars Seminar  
 Nortel Networks' Designing for the Human Experience (Boston), Participant  
 Boalt School of Law Law and Economics Seminar  
 Management, Strategy and the Business Environment (Wharton), Discussant  
 American Political Science Association Annual Meetings, Presenter and  
 Discussant  
 Berkeley-Stanford Conference on American Politics, Discussant  
 Midwest Political Science Association Annual Meetings, Chair
- 1999: Fiscal Policy and Fiscal Federalism in the EMU Conference (Los Angeles),  
 Discussant  
 Harvard Law School Olin Conference on Law, Economics and the Courts  
 American Political Science Association Annual Meetings, Presenter and  
 Discussant  
 Hoover Institution Seminar on Collective Choice (Stanford)  
 Public Choice Conference, Discussant  
 Berkeley Department of Political Science Seminar
- 1998: Princeton University Department of Political Science Seminar  
 Yale University Department of Political Science Seminar  
 Political Economy of European Integration Conference (Cambridge)  
 Positive Political Theory and Business Strategy Conference (Marshall, CA),  
 Presenter of two papers and Discussant  
 American Political Science Association Annual Meetings, Presenter of  
 two papers and Discussant  
 Federal Reserve Bank of Minneapolis (2 sessions)  
 Law, Economics and Organization Conference (Marshall, CA)  
 Public Choice Conference, Presenter and Discussant  
 American Economic Association Meetings  
 The Future of Political Economy: Rational Choice And Beyond  
 (Lake Arrowhead, CA), Discussant
- 1997: Haas School Institutional Analysis Workshop  
 Wallis Institute for Political Economy Conference  
 American Political Science Association Annual Meetings, Presenter of  
 two papers  
 Federal Reserve Bank of Minneapolis Conference on Federalism  
 Midwest Political Science Association Annual Meetings, Presenter and  
 Discussant  
 Public Choice Conference  
 Columbia Conference on Ethnic Violence
- 1996: Stanford GSB Politics and Organizations Seminar  
 University of California at Davis Economy, Justice & Society Seminar  
 American Political Science Association Annual Meetings

Western Economics Association Annual Meetings  
Stanford Political Economy Study Group

- 1995: Political Methodology Conference, Poster  
Conference on the Study of Prejudice in Italy (Trento, Italy)  
Stanford Center for Organizations Research Annual Conference, Program  
Committee and Chair
- 1994: Stanford Center for Organizations Research Annual Conference, Program  
Committee and Chair

### **University Service**

- . Co-organizer, Positive Political Theory and New Institutionalism Seminar (1997-2003, 2005- )
- . Member, Computer Committee, Haas School (1997-1998)
- . Member, MBA Committee, Haas School (1998-2000, 2001-2002)
- . Member, Methods Search Committee, Political Science Department (1998-1999)
- . Member, Ad Hoc Search Committee, Haas School of Business and Goldman School of Public Policy (2000-2001)
- . Member, IGS Advisory Board, (1999-2003, 2005-2007)
- . Mentor, Haas School of Business, Business Plan Competition (2000, 2001)
- . Mentor, Robert Wood Johnson Fellowship Program (1999-2003)
- . Coordinator, BPP MBA Core (2000-2002)
- . Member, Business and Public Policy Group Search Committee (2005-2006)
- . Chair, Business and Public Policy Group, Haas School (2006- )
- . Member, Haas School of Business Executive Committee (2006- )
- . Member, Haas School of Business Hiring Committee (2006- )
- . Member, Haas School Strategic Plan Implementation Task Force (2006- )
- . Member, American Politics Search Committee, Political Science Department (2006-2007)

### **Professional Service**

- . Section Organizer, American Law and Economics Association Meeting (2006)
- . Editorial Board, *Business and Politics* (2005- )
- . Member, Sage Prize Committee, American Political Science Association (1999)
- . Member, Best Dissertation Prize in Political Economy Committee, American Political Science Association (2000)
- . Occasional reviewer for *American Political Science Review*; *American Journal of Political Science*; *British Journal of Political Science*; *Business and Politics*; *California Management Review*; *Economics and Politics*; *Journal of Development Economics*; *Journal of Economics, Management and Strategy*; *International Organization*; *Journal of Law and Economics*; *Journal of Law, Economics and Organization*; *International Review of Law and Economics*; *Journal of Political Economy*; *Journal of Politics*; *Journal of Public Economics*; *Journal of Theoretical Politics*; *Publius*; *Studies in Comparative International Development*; *Supreme Court Economic Review*.

